



16669 U.S. PTO

UTILITY PATENT APPLICATION  
TRANSMITTAL

(For new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. FA 1179 US NA

First Named Inventor or Application Identifier

Carmen Flosbach et al.

322856 U.S. PTO  
10776376

## "EXPRESS MAIL CERTIFICATE"

"EXPRESS MAIL" MAILING LABEL NUMBER ER 430765520 US

DATE OF DEPOSIT: February 11, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NAME OF PERSON MAILING PAPER OR FEE

(TYPE OR PRINT) Jeannette Y. Rayfield

SIGNATURE SIGN

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		9. <input checked="" type="checkbox"/> The Title of the Invention: Polyurethaneurea Resins With Trialkoxysilane Groups And Processes For The Production Thereof
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. <u>04-1928</u> . <input checked="" type="checkbox"/> General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extensions of time, relating to this application. (37 CFR 1.136(a)(3)) <i>(Submit an original, and a duplicate for fee processing)</i>	10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies d. <input type="checkbox"/> Use the identical computer-readable form filed in Application No. _____, filed _____ as the computer-readable form for the instant application. (37 CFR 1.821(e))	
2. <input type="checkbox"/> A Check in the Amount of \$ _____ is enclosed <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment to Deposit Account <u>04-1928</u> .		
3. <input checked="" type="checkbox"/> The total fee is calculated as shown below: Basic Filing fee \$ 770.00 Total Claims 8 - 20 = 0 x \$18 \$ 0.00 Independent Claims 1 - 3 = 0 x \$86 \$ 0.00 <input type="checkbox"/> Multiple Dependent Claim present \$ 0.00 TOTAL FILING FEE \$ <u>770.00</u> <input type="checkbox"/> Reduction by 50% for filing by Small Entity \$ _____ <input type="checkbox"/> Cancel in this application original claims _to_ of the prior application before calculating the filing fee. Charge \$ _____ to the above indicated Deposit Account.	11. <b>ACCOMPANYING APPLICATION PARTS</b> a. <input type="checkbox"/> Information Disclosure Statement (IDS) b. <input checked="" type="checkbox"/> Form PTO/SB/08a c. <input type="checkbox"/> Copies of all IDS Citations	
4. <input checked="" type="checkbox"/> Specification excluding Drawings [Total Pages] <u>22</u>	12. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
5. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] <u>0</u>	13. <input type="checkbox"/> Prior Application is Assigned to: <u>E.I. du Pont de Nemours and Company</u> <i>(for continuation/divisional with Box 20a completed)</i>	
6. <input checked="" type="checkbox"/> Declaration and Power of Attorney [Total Pages] <u>4</u> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 19a completed)</i> c. <input checked="" type="checkbox"/> Unsigned Declaration <i>[Note Box 6 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	14. <input type="checkbox"/> Preliminary Amendment	
7. <input checked="" type="checkbox"/> Application Data Sheet 37 CFR 1.76	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
8. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 6b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 6b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
	17. <input type="checkbox"/> Transfer all references cited by Applicants or by the Examiner from the parent Application Serial No. filed_. A PTO-1449 listing the references is enclosed.	
	18. <input type="checkbox"/> Applicant Claims Small Entity Status	
	19. <input type="checkbox"/> Other :	

20. Priority Information, check appropriate box and supply the requisite information			
a	The accompanying application is a	<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional
		<input type="checkbox"/> Continuation-in-part (CIP)	
	Of prior application No: filed .		
	Examiner:	Group/Art:	

21. CORRESPONDENCE ADDRESS		22. RESPECTFULLY SUBMITTED,	
<input checked="" type="checkbox"/> Customer Number: <u>23906</u>		Signature	
Address	E.I. du Pont de Nemours and Company	Name	Hilmar L. Fricke
Telephone	(302) 984-6058	Date	<u>February 11, 2004</u>
Fax	(302) 658-1192	Registration No.	<u>22,384</u>

23. The Power of Attorney in the Prior Application includes: \_\_\_\_\_

☐ Recognize as Associate Attorney: \_\_\_\_\_  
Attorney Registration No.  
and address future correspondence to same as indicated in Box 21.

The invention was made by an agency of the U. S. Government or under a contract with an agency of the U. S. Government.

☒ No.  
☐ Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_.

*(preferred arrangement of specification set forth below)*

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Application Number	Unknown
		Filing Date	February 11, 2004
		First Named Inventor	Carmen Flosbach et al.
		Examiner Name	Unknown
		Group / Art Unit	Unknown
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 770.00		Attorney Docket No.	FA 1179 US NA

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																																																																
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None  <input checked="" type="checkbox"/> Deposit Account: <div style="margin-left: 20px;">                 Deposit Account Number: <span style="border: 1px solid black; padding: 2px 20px;">04-1928</span>                   Deposit Account Name: <span style="border: 1px solid black; padding: 2px 40px;">E. I. du Pont de Nemours and Company</span> </div> <p style="font-size: small;">The Commissioner is authorized to: (check all that apply)</p> <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="6" style="text-align: left;">3. ADDITIONAL FEES</th> </tr> <tr> <th colspan="2" style="text-align: left;">Large Entity</th> <th colspan="2" style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> <th></th> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453</td> <td>665</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501</td> <td>665</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503</td> <td>640</td> <td>2503</td> <td>320</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809</td> <td>770</td> <td>2809</td> <td>385</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810</td> <td>770</td> <td>2810</td> <td>385</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>1801</td> <td>770</td> <td>2801</td> <td>385</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802</td> <td>900</td> <td>1802</td> <td>900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="6">Other fee (specify) _____</td> </tr> </table>	3. ADDITIONAL FEES						Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)			1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))		1801	770	2801	385	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify) _____					
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EXTRA CLAIM FEES</h5> <table style="width: 100%;"> <tr> <td>Total Claims</td> <td><span style="border: 1px solid black; padding: 0 10px;">8</span></td> <td>-20**</td> <td>=</td> <td><span style="border: 1px solid black; padding: 0 10px;">0</span></td> <td>X</td> <td><span style="border: 1px solid black; padding: 0 10px;">18</span></td> <td>=</td> <td><span style="border: 1px solid black; padding: 0 10px;">0</span></td> </tr> <tr> <td>Independent Claims</td> <td><span style="border: 1px solid black; padding: 0 10px;">1</span></td> <td>-3**</td> <td>=</td> <td><span style="border: 1px solid black; padding: 0 10px;">0</span></td> <td>X</td> <td><span style="border: 1px solid black; padding: 0 10px;">86</span></td> <td>=</td> <td><span style="border: 1px solid black; padding: 0 10px;">0</span></td> </tr> <tr> <td>Multiple Dependent</td> <td><input type="checkbox"/></td> <td></td> <td></td> <td></td> <td>X</td> <td><span style="border: 1px solid black; padding: 0 10px;">290</span></td> <td>=</td> <td><span style="border: 1px solid black; padding: 0 10px;">0</span></td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left;">Large Entity</th> <th colspan="2" style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> <th></th> </tr> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>1201</td> <td>86</td> <td>2201</td> <td>43</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>1203</td> <td>290</td> <td>2203</td> <td>145</td> <td>Multiple dependent claim, if not paid</td> <td></td> </tr> <tr> <td>1204</td> <td>86</td> <td>2204</td> <td>43</td> <td>** Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;"><b>SUBTOTAL (2)</b></td> <td><b>(\$ 0.00)</b></td> </tr> </table> <p style="font-size: small;">**or number previously paid, if greater; For Reissues, see above</p>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)			1001	770	2001	385	Utility filing fee	770	1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		<b>SUBTOTAL (1)</b>					<b>(\$ 770)</b>	Total Claims	<span style="border: 1px solid black; padding: 0 10px;">8</span>	-20**	=	<span style="border: 1px solid black; padding: 0 10px;">0</span>	X	<span style="border: 1px solid black; padding: 0 10px;">18</span>	=	<span style="border: 1px solid black; padding: 0 10px;">0</span>	Independent Claims	<span style="border: 1px solid black; padding: 0 10px;">1</span>	-3**	=	<span style="border: 1px solid black; padding: 0 10px;">0</span>	X	<span style="border: 1px solid black; padding: 0 10px;">86</span>	=	<span style="border: 1px solid black; padding: 0 10px;">0</span>	Multiple Dependent	<input type="checkbox"/>				X	<span style="border: 1px solid black; padding: 0 10px;">290</span>	=	<span style="border: 1px solid black; padding: 0 10px;">0</span>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)			1202	18	2202	9	Claims in excess of 20		1201	86	2201	43	Independent claims in excess of 3		1203	290	2203	145	Multiple dependent claim, if not paid		1204	86	2204	43	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		<b>SUBTOTAL (2)</b>					<b>(\$ 0.00)</b>	<p style="font-size: small;">*Reduced by Basic Filing Fee Paid</p> <p style="text-align: right;"><b>SUBTOTAL (3)</b> (\$ 0)</p>																																																																					
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Hilmar L. Fricke	Registration No. Attorney/Agent)	22,384
Signature		Telephone	(302) 984-6058
		Date	February 11, 2004

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POLYURETHANEUREA RESINS WITH TRIALKOXYSILANE GROUPS AND PROCESSES FOR THE PRODUCTION THEREOF

Application No.: Unknown

Filing Date: February 11, 2004

First Named Inventor: Carmen Flosbach et al.

Group Art Unit: Unknown

Examiner: Unknown

Attorney Docket: FA 1179 US NA

Fee Transmittal

Application – 22 pages

Application Data Sheet

Form PTO/SB/08a

Declaration/Power of Attorney (not executed) (4 pages)

Authorization to charge Deposit Account 04-1928

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